



396.43109X00

IF@

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): T. HIROKANE, et al.  
Application No.: 10/659,397  
Filed: September 11, 2003  
Title: PROCESS FOR PRODUCING POLYESTER RESINS  
Group: 1711

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97 AND § 1.98

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 9, 2004

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find an European Search Report, and copies of documents cited therein, in connection with an European patent application corresponding to the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are satisfied.

In any event, the following Statement is made under 37 CFR § 1.97(e)(1):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

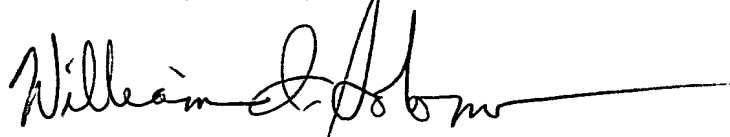
To the extent that the enclosed documents are not in English, requirements of 37 CFR § 1.98 (a) (3) are satisfied by English abstracts thereof and/or by citation of relevant passages thereof in the enclosed European Search Report.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 396.43206X00) and please credit any excess fees to such Deposit Account.

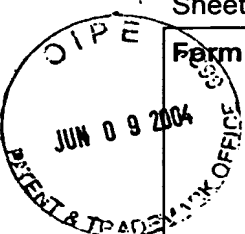
Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read 'William I. Solomon', with a long horizontal flourish extending to the right.

William I. Solomon  
Registration No. 28,565

1300 North 17<sup>th</sup> Street  
Suite 1800  
Arlington, VA 22209  
Tel: 703-312-6600  
Fax : 703-312-6666  
WIS/sjg



Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 396.43109X00	APPLICATION NO. 10/659,397
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>APPLICANT</b> T. Hirokane, et al.	
		<b>FILING DATE</b> September 11, 2003	<b>GROUP</b> 1711

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	4,004,878	1/25/1977	Magosch, et al.			
AB	3,827,320	8/6/1974	Parks			
AC	3,963,800	6/15/1976	Gipp, et al.			
AD	2,945,008	7/12/1960	Caldwell, et al.			
AE	2002/0028904	3/7/2002	Dhawan, et al.			
AF	6,274,696	8/14/2001	Bonte, et al.0.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/ Abstracts	
						Yes	No
AE	4-201431	7/22/1992	Japan			X	
AF	62-265361	11/18/1987	Japan			X	
AG	1 164 155	12/19/2001	EP				
AH	1 262 524	12/4/2002	EP				
AI							
AJ							
AK							
AL							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

AM	Communication and European Search Report mailed March 10, 2004 for EP No. 03 02 0131		
AN			
AO			
AP			
AQ			
AR			
<table border="1"> <tr> <td>Examiner</td> <td>Date Considered</td> </tr> </table>		Examiner	Date Considered
Examiner	Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC